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November 23, 1999  
Date

Lawrence Teague

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Christen M. Anderson, Robert E. Davis, William Clevenger,  
Sandra Eileen Wiley, Scott W. Miller, Tomas R. Szabo,  
Soumitra S. Ghosh, Walter H. Moos and Yiazhang Pei  
Application No. : 09/393,441  
Filed : September 8, 1999  
For : PRODUCTION OF ADENINE NUCLEOTIDE  
TRANSLOCATOR (ANT), NOVEL ANT LIGANDS AND  
SCREENING ASSAYS THEREFOR

Art Unit : 1633  
Docket No. : 660088.420C1  
Date : November 23, 1999

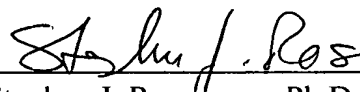
Assistant Commissioner for Patents  
Washington, DC 20231

RESPONSE TO THE NOTICE TO COMPLY WITH REQUIREMENTS  
FOR PATENT APPLICATION CONTAINING NUCLEOTIDE SEQUENCE  
AND/OR AMINO ACID SEQUENCE DISCLOSURES

Sir:

In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosure dated September 23, 1999, please find the following enclosed: a computer diskette containing a copy of the Sequence Listing in computer readable format, an Amendment Regarding Sequence Disclosures; a Declaration Regarding the Computer Diskette; Paper Copy of the Sequence Listing; and Form PTO-1661.

Respectfully submitted,  
Christen M. Anderson et al.  
SEED and BERRY LLP

  
Stephen J. Rosenman, Ph.D.  
Registration No. 43,058

SJR:ljt

Enclosures:

Postcard  
Computer Diskette  
Declaration Regarding Computer Diskette  
Form PTO-1449

6300 Columbia Center  
Seattle, Washington 98104-7092  
(206) 622-4900  
Fax: (206) 682-6031

ljt\sequence new rules\660088\420C1.ms

# SEED and BERRY

Lawrence Teague  
November 23, 1999



740073.404

Page 1

**Yesterday Rush**  
**(Please Fax)**

1. Gooding et al., "Novel flow injection Channel Flow cell for the investigation of the process of solid/liquid interfaces. 2. Experiment," *J. Phys. Chem. B* 101: 182-188, 1997.



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARK  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/393,441 09/08/99 ANDERSON C 660JOU.42001

0262/0923

STEPHEN J ROSENMAN PHD  
SEED AND BERRY LLP  
6300 COLUMBIA CENTER  
701 FIFTH AVENUE  
SEATTLE WA 98104-7592

NOT ASSIGNED

1633  
DATE MAILED:

09/23/99

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application fails to comply with the requirements of 37 CFR 1.821 - 1.825.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- ☐ 7. OTHER: \_\_\_\_\_

**APPLICANT MUST PROVIDE:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing."
- ☐ An initial or substitute paper copy of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

**FOR QUESTIONS REGARDING COMPLIANCE WITH THESE REQUIREMENTS, PLEASE CONTACT:**

- ☐ For Rules Interpretation, call (703) 308-1123.
- ☐ For CRF submission help, call (703) 308-4212.
- ☐ For PatentIn software help, call (703) 308-6856.

*Handwritten signature*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



# SEED AND BERRY LLP

6300 Columbia Center  
Seattle, Washington 98104-7092, U.S.A.  
FAX: (206) 682-6031 / PHONE: (206) 622-4900

## FACSIMILE TRANSMITTAL

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DATE: November 23, 1999 OUR REF. NO: 740073.404

TO: RSS

FROM: Lawrence Teague

YOUR REF. NO.: \_\_\_\_\_

YOUR FAX NO.: 685-8049

RE: One Yesterday - Rush!!!

We are transmitting 2 pages (including this sheet).

If transmission is incomplete, please call Lawrence Teague

at (206) 622-4900 or fax our office at the number above.

## COMMENTS

This one is real hot!!!!

Please fax ASAP!!!

Thanks,

L.T.

DATE FAXED: \_\_\_\_\_

TIME FAXED: \_\_\_\_\_

BY: \_\_\_\_\_

Confirmation copy sent \_\_\_\_\_